# SUPERSYMMETRY IN PARTICLE PHYSICS AND ITS SPONTANEOUS BREAKDOWN

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- Standard model of particle physics and beyond.
- Supersymmetry and it implications.
- Supergravity and string theory.
- Constraints from supersymmetry breaking.

#### STANDARD MODEL OF PARTICLE PHYSICS

#### Scales of fundamental forces

- The electromagnetic force has a long range and is sizable at all lengths. It has no characteristic energy scale.
- The weak force has a short range and is sizable only below some length. Its characteristic energy scale is  $M_{
  m F}\sim 10^2~{
  m GeV}.$
- The strong force has a more complex behavior. Its characteristic energy scale can be defined as the typical binding energy involved in hadrons:  $M_{
  m H} \sim 1~{
  m GeV}$ .
- The gravitational force has a long range but an energy-dependent coupling. Its characteristic energy scales are  $M_{
  m P} \sim 10^{19}~{
  m GeV}$  and  $M_{\Lambda} \sim 10^{-12}~{
  m GeV}$ .

#### Structure of the standard model

The SM describes the electromagnetic, weak and strong interactions, with couplings  $\alpha_{\rm E}$ ,  $\alpha_{\rm W}$  and  $\alpha_{\rm S}$ . It ignores the gravitational interaction, whose effective coupling is  $\alpha_{\rm G}(E) \sim (E/M_{\rm P})^2$ .

It is a relativistic quantum field theory. It has a Lagrangian that involves a finite number of fields and parameters, and the structure of interactions is fixed by local gauge symmetries.

#### Particle content

Leptons: 
$$e^- \ \mu^- \ \tau^-$$
 Int. bos:  $\gamma \ W^\pm \ Z^0$  Higgs:  $H$   $\nu_e \ \nu_\mu \ \nu_\tau$  Quarks:  $u_\alpha \ c_\alpha \ t_\alpha$  Gluons:  $g_a$   $d_\alpha \ s_\alpha \ b_\alpha$  flavor

Weinberg 1967 Salam 1968

#### Electroweak sector

The electromagnetic and weak interactions rest on a  $SU(2) \times U(1)$  group. This allows 2 dimensionless couplings but forbids mass terms.

The mass terms are induced by partial spontaneous symmetry breaking:  $SU(2) \times U(1) \rightarrow U(1)$ . This is triggered at the classical level by the Higgs scalar, whose vev sets the scale  $M_{\rm F}$ .

The weak bosons but the photon get masses from gauge couplings of H. The matter fermions get masses from extra Yukawa couplings with H.

## Strong sector

Gross, Wilczek 1973 Politzer 1974

The strong interactions are based on an SU(3) local gauge symmetry. This allows 1 dimensionless coupling constant. This symmetry remains unbroken and the gluons are massless.

The scale  $M_{\mathbf{H}}$  arises in a more subtle way, through quantum effects, as the scale where these interactions become effectively strong.

#### Experimental perspective

- The SM has been verified with very good accuracy below  $M_{
  m F}$ . The Higgs particle has however not been observed until now:  $m_H>115~{
  m GeV}$ .
- New experiments will soon allow to probe the SM beyond  $M_{
  m F}$ . This should lead to a clarification of the mechanism of electroweak symmetry breaking.

#### Theoretical perspective

- The SM is expected to be an effective theory valid at most up to  $M_{\mathbf{P}}$ , where gravitational interactions become important.
- The Higgs particle must be light enough for perturbation theory to be reliable:  $m_H < 1 \text{ TeV}$ .

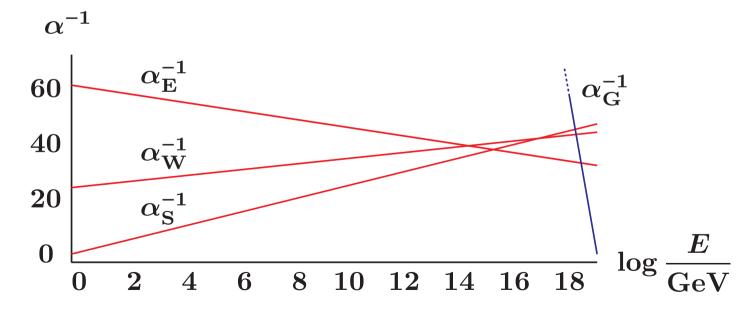
#### PHYSICS BEYOND THE STANDARD MODEL

#### Running of couplings

At the quantum level all the couplings become energy-dependent:

$$lpha_{
m E,W,S}^{-1}(E) = lpha_{
m E,W,S}^{-1} + eta_{
m E,W,S} \, \ln rac{E}{M_{
m F}} \, \, \, \, \, \, lpha_{
m G}^{-1}(E) = \left(rac{E}{M_{
m P}}
ight)^{-2}$$

Extrapolating the values measured around  $M_{\mathbf{F}}$  one finds:



The three gauge forces are described in a very similar way in the SM. Moreover, their strengths become comparable at  $M_{\rm U}\sim 10^{15-16}~{\rm GeV}$ . This suggests that a more fundamental theory might underly the SM, where theses gauge forces are unified.

Quantum field theory with a larger gauge group.

Unification of gauge and gravitational forces

The proximity of  $M_{\mathbf{U}}$  and  $M_{\mathbf{P}}$  suggests that the gravitational force might also get unified with the gauge forces close to  $M_{\mathbf{U}}$ .

Ideally, the ultimate theory should have 1 scale  $M_{\rm U}$  and 1 coupling  $\alpha_{\rm U}$ , and all the other scales and parameters should be derived.

Radically different kind of quantum theory.

## Hierarchy of scales

Assuming the existence of a fundamental scale close to  $M_{\rm P}$ , one may wonder how the much lower scales  $M_{\rm H}$ ,  $M_{\rm F}$  and  $M_{\Lambda}$  emerge.

- The hierarchy  $M_{\rm H}/M_{\rm P}$  results from the slow quantum running of the dimensionless coupling  $\alpha_{\rm S}$ .  $\Rightarrow$  Satisfactory.
- The hierarchy  $M_{\rm F}/M_{\rm P}$  is achieved by a large tuning of the mass coupling  $\mu^2$  in the Higgs potential.  $\Rightarrow$  Unsatisfactory.
- The hierarchy  $M_{\Lambda}/M_{\rm P}$  implies a huge tuning of cosmological constant parameter  $\Lambda$ .  $\Rightarrow$  Unsatisfactory.

New physics versus energy

We expect that two kinds of new physical features should show up around respectively  $M_{\rm F}$  and  $M_{\rm P}$ .

#### SUPERSYMMETRY

## Supersymmetry

Volkov, Akulov 1973 Wess, Zumino 1974

Supersymmetry is the unique and maximal possible extension of Poincaré spacetime symmetries that allows a non-trivial dynamics.

The new supertransformations mix bosons and fermions, and interfere with translations, rotations and boosts.

- It can be realized only on multiplets with the same number of bosons and fermions with equal masses.
- It can be spontaneously broken down to Poincaré symmetry. In that case mass splittings with scale  $M_{
  m B}$  appear.
- It limits quantum corrections, due to cancellations between virtual bosons and fermions with energy-momentum larger than  $M_{
  m B}$ .

The MSSM is obtained by adding to the SM first a second Higgs field and then a superpartner for each ordinary field.

#### **Particles**

Leptons:  $e^- \mu^- \tau^-$  Int. bos:  $\gamma \ W^\pm Z^0$  Higgs:  $H \ \phi_{1-2} \ \phi^\pm$ 

 $u_e$   $u_\mu$   $u_ au$ 

Quarks:  $u_{\alpha}$   $c_{\alpha}$   $t_{\alpha}$  Gluons:  $g_{a}$ 

 $d_{lpha} \; s_{lpha} \; b_{lpha}$ 

## **Sparticles**

Sleptons:  $ilde{e}^ ilde{\mu}^ ilde{ au}^-$  Chargini:  $\chi_{1-2}^\pm$  Neutralini:  $\chi_{1-4}^0$ 

 $ilde
u_e$   $ilde
u_\mu$   $ilde
u_ au$ 

Squarks:  $ilde{u}_{lpha}$   $ilde{c}_{lpha}$   $ilde{t}_{lpha}$  Gluini:  $ilde{g}_{a}$ 

 $ilde{d}_{lpha}$   $ilde{s}_{lpha}$   $ilde{b}_{lpha}$ 

## Supersymmetry breaking

Only particles and no sparticles were observed so far. Supersymmetry must thus be spontaneously broken. Choosing the breaking scale  $M_{\rm B}$  around  $M_{\rm F}$  naturally solves the electroweak hierarchy problem.

One introduces a hidden sector where supersymmetry is broken, and a mediating sector that transmits this effect to the visible sector of ordinary particles and sparticles, with an effective breaking scale  $M_{\rm B}$ .

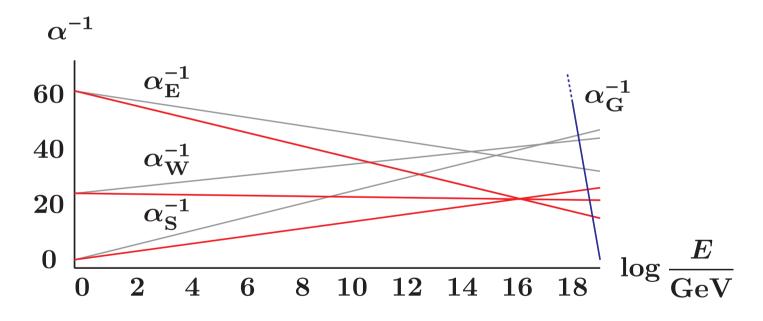
## Phenomenological characteristics

The phenomenology of the MSSM can be studied as a function of the values of the soft breaking terms. It works pretty well in general.

- The Higgs is predicted to be very light, with  $m_H < 130~{
  m GeV}$ .
- The lightest sparticle is stable, once R parity is imposed to ensure proton stability, and it represents a good dark matter candidate.

## Impact on running of couplings

The presence of sparticles, besides particles, changes  $\beta$  in the running of gauge couplings. Extrapolating again the values around  $M_{\rm F}$  one finds a more precise unification at  $M_{\rm U}\sim 10^{16-17}~{
m GeV}$ :



#### SUPERGRAVITY AND STRING THEORY

## Local supersymmetry

Freedman, van Nieuwenhuizen, Ferrara 1976

The superPoincaré group can be promoted to a local symmetry. This gives rise to supergravity, and requires two new particles:

Graviton: *h* 

Gravitino:  $\chi$ 

Gravity-mediated supersymmetry breaking

Arnowitt, Chamseddine, Nath 1982 Barbieri, Ferrara, Savoy 1982

The visible and the hidden sectors unavoidably interact through gravity. Supergravity thus represents a natural mediation sector. If the hidden sector breaking scale is  $M_{\rm S}$ , the visible soft-term scale is given by

$$M_{
m B} = rac{M_{
m S}^2}{M_{
m P}} \;\; 
ightarrow \;\; M_{
m B} \sim M_{
m F} \;\; {
m if} \;\; M_{
m S} \sim \sqrt{M_{
m F} M_{
m P}}$$

#### Delicate points

- The hidden potential determines both  $M_{
  m B}$  and  $M_{\Lambda}$  by its shape. It is unnatural to have  $M_{\Lambda} \ll M_{
  m B}$ .  $\Rightarrow$  Flatness.
- The hidden scalars give new forces and affect nucleosynthesis. They have to be stabilized with  $m \sim M_{
  m B}$ .  $\Rightarrow$  Stability.

#### Limitation on validity

Supergravity theories get out of control at energy scales of the order of  $M_{\mathbf{P}}$ , as any quantum field theory involving gravitational interactions. They can thus only be effective theories valid at most up to  $M_{\mathbf{P}}$ .

Note however that they nevertheless represent the most adequate way to describe gravity-mediated supersymmetry breaking, since the breaking scale  $M_{\rm S}$  is much smaller that  $M_{\rm P}$ .

# String theory

String theory describes extended objects with tension  $M_{\rm U}$  and typical size  $M_{\rm U}^{-1}$ , with a single coupling  $\alpha_{\rm U}$ . It can be viewed as a quantum field theory with infinitely many new particles with masses of order  $M_{\rm U}$ .

It is the only known candidate for a fully unified theory describing both gauge and gravitational interactions at the quantum level. It does so at the price of modifying these forces at the scale  $M_{\rm U}\sim \alpha_{\rm U}^{\frac{1}{2}}M_{\rm P}$ .

- It predicts supersymmetry, which may be broken at  $M_{\rm B} < M_{\rm U}$ , and extra dimensions, which may be compactified at  $M_{\rm C} \sim M_{\rm U}$ .
- ullet Below  $M_{f U}$  it effectively reduces to a supergravity model.
- The compactification parameters and the coupling constant are dynamically fixed as vevs of light scalar fields called moduli, which are natural candidates for the hidden sector fields.

#### CONSTRAINTS FROM SUPERSYMMETRY BREAKING

## SuperPoincaré algebra

Haag, Lopuszanski, Sohnius 1975

The structure of the superPoincaré algebra is essentially unique, and takes the following form:

$$egin{aligned} \left[P_{\mu},P_{
u}
ight] &= 0 \ \left[P_{\mu},M_{
ho\sigma}
ight] &= i ig(\eta_{\mu
ho}P_{\sigma} - \eta_{\mu\sigma}P_{
ho}ig) \ \left[M_{\mu
u},M_{
ho\sigma}
ight] &= i ig(\eta_{
u
ho}M_{\mu\sigma} - \eta_{\mu
ho}M_{
u\sigma} - \eta_{
u\sigma}M_{\mu
ho} + \eta_{\mu\sigma}M_{
u
ho}ig) \ \left[Q_{lpha},P_{\mu}
ight] &= 0 \ \left[Q_{\dot{lpha}},P_{\mu}
ight] &= 0 \ \left[Q_{\dot{lpha}},M_{
ho\sigma}
ight] &= -rac{1}{2}ar{\sigma}_{
ho\sigma\,\dot{lpha}}\dot{eta}Q_{\dot{eta}} \ \left\{Q_{lpha},Q_{eta}
ight\} &= 0 \ \left\{Q_{\dot{lpha}},Q_{\dot{eta}}
ight\} &= 0 \ \left\{Q_{\dot{lpha}},Q_{\dot{eta}}
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ight\} &= 0 \ \left\{Q_{lpha},Q_{\dot{eta}}
ight\} &= 0 \ \left\{Q_{\dot{lpha}},Q_{\dot{eta}}
ight\} &= 0 \ \end{array}$$

## Superfields

The representations can be constructed on superfields  $\Phi(x, \theta, \bar{\theta})$ , which depend on 4 commuting coordinates  $x^{\mu}$  and 4 additional anticommuting coordinates  $\theta^{\alpha}$ ,  $\bar{\theta}^{\dot{\alpha}}$ , with

A superfield is a finite series in powers of  $\theta^{\alpha}$ ,  $\bar{\theta}^{\dot{\alpha}}$ , whose coefficients are fields depending only on  $x^{\mu}$ , with equal masses but different spins.

Invariants can be constructed in two ways:

- $\int d^4x \, d^2\theta \, d^2\bar{\theta} \, L(V)$  for general vector superfields V.
- $\int d^4x \ d^2\theta \ L(\Phi)$  for constrained chiral superfields  $\Phi$ .

# Spontaneous supersymmetry breaking

SuperPoincaré symmetry is spontaneously broken to Poincaré symmetry if on the vacuum  $P_{\mu}=M_{\mu\nu}=0$  but  $Q_{\alpha}\neq 0$ . Only scalars get vevs, and there is a massless Goldstino fermion  $\eta_{\alpha}$ .

Fields of different spins within each supermultiplet get their masses split. However, there is a simple sum rule on the mass matrix.

Metastability

Gomez-Reino, Scrucca 2006

The sGoldstino scalar  $\tilde{\eta}$ , partner of the massless Goldstino fermion, is dangerous for metastability, because its mass is induced by spontaneous supersymmetry breaking and is severely constrained.

Effects of gravity

Cremmer, Julia, Scherk, Ferrara, Girardello, van Nieuwenhuizen 1979

In supergravity, the Goldstino  $\eta_{\alpha}$  is absorbed by the gravitino  $\chi^{\mu}_{\alpha}$  through a superHiggs mechanism. One finds qualitatively similar results.

## MINIMAL SETUP: ONLY CHIRAL MULTIPLETS

Theories with chiral multiplets

Zumino 1979 Freedman, Alvarez-Gaumé 1981

The simplest superfield is the chiral one, with component fields  $(\phi, \psi_{\alpha}, F)$ :

$$\begin{split} \Phi(\boldsymbol{x},\boldsymbol{\theta},\bar{\boldsymbol{\theta}}) &= \phi(\boldsymbol{x}) + \sqrt{2}\,\boldsymbol{\theta}^{\alpha}\psi_{\alpha}(\boldsymbol{x}) + \boldsymbol{\theta}^{\alpha}\boldsymbol{\theta}^{\beta}\epsilon_{\alpha\beta}F(\boldsymbol{x}) \\ &+ i\,\boldsymbol{\theta}^{\alpha}\bar{\boldsymbol{\theta}}^{\dot{\beta}}\sigma^{\mu}_{\phantom{\mu}\alpha\dot{\beta}}\partial_{\mu}\phi(\boldsymbol{x}) + \frac{i}{\sqrt{2}}\,\boldsymbol{\theta}^{\alpha}\boldsymbol{\theta}^{\beta}\bar{\boldsymbol{\theta}}^{\dot{\gamma}}\epsilon_{\alpha\beta}\bar{\sigma}^{\mu}_{\phantom{\dot{\gamma}}\dot{\delta}}\partial_{\mu}\psi^{\delta}(\boldsymbol{x}) \\ &+ \frac{1}{4}\,\boldsymbol{\theta}^{\alpha}\boldsymbol{\theta}^{\beta}\bar{\boldsymbol{\theta}}^{\dot{\gamma}}\bar{\boldsymbol{\theta}}^{\dot{\delta}}\epsilon_{\alpha\beta}\epsilon_{\dot{\gamma}\dot{\delta}}\Box\phi(\boldsymbol{x}) \end{split}$$

The most general two-derivative action is parameterized by a real Kähler potential  $K(\Phi, \bar{\Phi})$  and a holomorphic superpotential  $W(\Phi)$ :

$$S = \int \!\! d^4x \, d^2 {\color{red} heta} \, d^2 {\color{red} heta} \, K(\Phi, {\color{red} \Phi}) + \int \!\! d^4x \, d^2 {\color{red} heta} \, W(\Phi) + ext{h.c.}$$

The action for the components fields is worked out by performing the  $\theta$  and  $\bar{\theta}$  integrals. The result depends on the derivatives of the functions K and W with respect to the chiral multiplets  $\Phi^i$  and their conjugate  $\bar{\Phi}^{\bar{\imath}}$ .

## Component Lagrangian

The Lagrangian is found to be:

$$egin{aligned} L &= K_{iar{\jmath}}(\phi,ar{\phi})ig(-\partial_{\mu}\phi^{i}\partial^{\mu}ar{\phi}^{ar{\jmath}} - rac{i}{2}oldsymbol{\psi}^{i}\sigma^{\mu}\partial_{\mu}ar{oldsymbol{\psi}}^{ar{\jmath}} + ext{h.c.} + oldsymbol{F}^{i}ar{F}^{ar{\jmath}}ig) \ &+ rac{1}{2}K_{iar{\jmath}k}(\phi,ar{\phi})ig(-oldsymbol{\psi}^{i}oldsymbol{\psi}^{k}ar{F}^{ar{\jmath}} + ioldsymbol{\psi}^{i}\sigma^{\mu}ar{oldsymbol{\psi}}^{ar{\jmath}}\partial_{\mu}\phi^{k}ig) + ext{h.c.} \ &+ rac{1}{4}K_{iar{\jmath}kar{l}}(\phi,ar{\phi})oldsymbol{\psi}^{i}oldsymbol{\psi}^{k}ar{oldsymbol{\psi}}^{ar{\jmath}}ar{oldsymbol{\psi}}^{ar{l}} + ig(W_{i}(\phi)oldsymbol{F}^{i} - rac{1}{2}W_{ij}(\phi)oldsymbol{\psi}^{i}oldsymbol{\psi}^{j}ig) + ext{h.c.} \end{aligned}$$

The supersymmetry transformations act as follows:

$$egin{align} \delta oldsymbol{\phi}^i &= \sqrt{2} \, \epsilon \, oldsymbol{\psi}^i \ \delta oldsymbol{\psi}^i &= \sqrt{2} \, \epsilon \, oldsymbol{F}^i + \sqrt{2} i \, ar{\epsilon} \, ar{\sigma}^\mu \partial_\mu oldsymbol{\phi}^i \ \delta oldsymbol{F}^i &= \sqrt{2} i \, ar{\epsilon} \, ar{\sigma}^\mu \partial_\mu oldsymbol{\psi}^i \ \end{align}$$

The auxiliary fields  $F^i$  have an algebraic equation of motion:

$$m{F^i} = -K^{iar{\jmath}}(m{\phi},ar{m{\phi}})ar{W}_{ar{\jmath}}(ar{m{\phi}}) + rac{1}{2}K_{ijk}(m{\phi},ar{m{\phi}})m{\psi}^jm{\psi}^k$$

## Dynamics of physical fields

The Lagrangian for the physical fields  $\phi^i$  and  $\psi^i$  has the form L=T-V where:

$$egin{aligned} T &= -g_{iar{\jmath}} \, 
abla_{\mu} oldsymbol{\phi}^i 
abla^{\mu} ar{\phi}^{ar{\jmath}} - rac{i}{2} g_{iar{\jmath}} oldsymbol{\psi}^i \sigma^{\mu} 
abla_{\mu} ar{oldsymbol{\psi}}^{ar{\jmath}} + ext{h.c.} \ V &= g^{iar{\jmath}} W_i ar{W}_{ar{\jmath}} - rac{1}{2} 
abla_i W_j oldsymbol{\psi}^i oldsymbol{\psi}^j + ext{h.c.} + rac{1}{4} R_{iar{\jmath}kar{l}} oldsymbol{\psi}^i oldsymbol{\psi}^k ar{oldsymbol{\psi}}^{ar{\jmath}} ar{oldsymbol{\psi}}^{ar{l}} \end{aligned}$$

This is a supersymmetric non-linear sigma model. The target space is a Kahler manifold. The scalars  $\phi^i$  are its coordinates, whereas the fermions  $\psi^i$  are related to the tangent space. The geometry is specified by K:

$$g_{iar{\jmath}}=K_{iar{\jmath}} \ \ \Gamma^i_{jk}=K^{iar{l}}K_{ar{l}jk} \ \ R_{iar{\jmath}kar{l}}=K_{iar{\jmath}kar{l}}-K_{ikar{s}}K^{ar{s}r}K_{rar{\jmath}ar{l}}$$

The target-space and space-time covariant derivative are:

$$\begin{split} \nabla_i V^j &= \partial_i V^j + \Gamma^j_{ik} V^k & \nabla_\mu \phi^i = \partial_\mu \phi^i \\ \nabla_i V_j &= \partial_i V_j - \Gamma^k_{ij} V_k & \nabla_\mu \psi^i = \partial_\mu \psi^i - \Gamma^i_{jk} \partial_\mu \phi^j \psi^k \end{split}$$

#### Vacuum

The most general Poincaré-symmetric vacuum configuration is:

$$\phi^i = \text{const.}, \ \ \psi^i = 0, \ \ F^i = \text{const.}$$

Stationarity of the potential energy implies that:

$$\nabla_i W_j F^j = 0$$

Supersymmetry acts on this as  $\delta\phi^i=0$ ,  $\delta\psi^i=\sqrt{2}\,\epsilon\,F^i$ ,  $\delta F^i=0$ . The order parameter for supersymmetry breaking is thus  $V=g_{i\bar{\jmath}}F^i\bar{F}^{\bar{\jmath}}$ . The Goldstino is  $\eta=\bar{F}_i\psi^i$  and the sGoldstino  $\tilde{\eta}=\bar{F}_i\phi^i$ .

The fluctuations of the fields  $\phi^i$  and  $\psi^i$  have a common wave function matrix given by  $Z_{i\bar{\jmath}}=g_{i\bar{\jmath}},\,Z_{ij}=0$ , and mass matrices given by:

$$egin{aligned} m_{m{\phi}\,iar{\jmath}}^2 &= 
abla_i W_k\, g^{kar{l}}\, 
abla_{ar{\jmath}} ar{W}_{ar{l}} - R_{iar{\jmath}kar{l}} m{F}^kar{ar{F}}^{ar{l}} & m_{m{\psi}\,ij} = -
abla_i W_j \ m_{m{\phi}\,iar{\jmath}}^2 &= -
abla_i 
abla_j ar{W}_{ar{k}} ar{ar{F}}^{ar{k}} & ar{m}_{m{\psi}\,ar{\imath}ar{\jmath}} = -
abla_{ar{\imath}} ar{W}_{ar{\jmath}} ar{ar{F}}^{ar{k}} & ar{m}_{m{\psi}\,ar{\imath}ar{\jmath}} = -
abla_{ar{\imath}} ar{W}_{ar{\jmath}} \ \end{array}$$

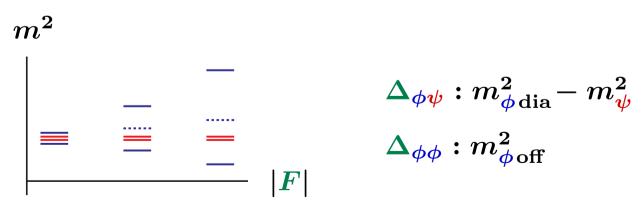
#### Physical masses

The full physical mass matrices for the 2n + 2n degrees of freedom are obtained after canonically normalizing the fields. One finds:

$$m_{oldsymbol{\phi}\,IJ}^2 = egin{pmatrix} m_{oldsymbol{\phi}\,iar{\jmath}}^2 & m_{oldsymbol{\phi}\,iar{\jmath}}^2 & m_{oldsymbol{\phi}\,ar{\imath}ar{\jmath}}^2 \end{pmatrix} & m_{oldsymbol{\phi}\,ar{\imath}ar{\jmath}}^2 & m_{oldsymbol{\phi}\,ar{\imath}ar{\jmath}}^2 \end{pmatrix} & m_{oldsymbol{\psi}\,IJ}^2 = egin{pmatrix} (m_{oldsymbol{\psi}}ar{m}_{oldsymbol{\psi}})_{iar{\jmath}} & 0 \ 0 & (ar{m}_{oldsymbol{\psi}}m_{oldsymbol{\psi}})_{ar{\imath}ar{\jmath}} \end{pmatrix} & m_{oldsymbol{\phi}\,ar{\imath}ar{\jmath}}^2 & m_{oldsymbol{\phi}\,ar{\imath}ar{\jmath}}^2 & m_{oldsymbol{\phi}\,ar{\imath}ar{\jmath}}^2 & m_{oldsymbol{\phi}\,ar{\imath}ar{\jmath}}^2 & m_{oldsymbol{\psi}}^2 & m_{oldsymbol{$$

For unbroken supersymmetry  $F^i=0$  and  $m_{\phi IJ}^2=m_{\psi IJ}^2$ . The masses are degenerate, and for each level there are two scalars and two fermions.

For broken supersymmetry  $F^i \neq 0$  and  $m_{\phi IJ}^2 \neq m_{\psi IJ}^2$ . In each group, the mean scalar and fermion mass shift and the two scalar masses split.



## Special features of mass spectrum

A first useful information concerns the shift between mean bosons and fermions masses. It can be extracted by taking the trace:

$$\mathrm{tr}[m_{m{\phi}}^2] - \mathrm{tr}[m_{m{\psi}}^2] = -2\,R_{iar{\jmath}}\,m{F}^iar{m{F}}^{ar{\jmath}}$$

A second important information concerns the Goldstino and the mean sGoldstino masses. It can be extracted by looking in the direction  $F^{i}$ :

$$egin{align} m_{m{ ilde{\eta}}}^2 &= -rac{R_{iar{\jmath}kar{l}}\,m{F}^iar{m{F}}^{ar{\jmath}}m{F}^kar{ar{F}}^{ar{l}}}{m{F}^par{F}_p} \ m_{m{\eta}}^2 &= 0 \end{array}$$

We see that to achieve separation between partners and metastability, we need non-vanishing negative curvature. The effective theory then has a physical cut-off scale set by the curvature and is non-renormalizable.

## Effects of gravity

In supergravity, assuming vanishing cosmological constant one finds:

$${
m tr}[m_{m{\phi}}^2] - {
m tr}[m_{m{\psi}}^2] = -2 \, \Big( R_{iar{\jmath}} - rac{1}{3} (n\!+\!1) \, g_{iar{\jmath}} \, M_{
m P}^{-2} \Big) m{F}^i ar{m{F}}^{ar{\jmath}}$$

and

$$m_{ ilde{m{\eta}}}^2 = -rac{\left(R_{iar{m{\jmath}}kar{ar{l}}} - rac{1}{3}\left(g_{iar{m{\jmath}}}\,g_{kar{ar{l}}} + g_{iar{ar{l}}}\,g_{kar{m{\jmath}}}
ight)M_{
m P}^{-2}
ight)m{F}^{i}ar{m{F}}^{ar{m{J}}}m{F}^{k}ar{m{F}}^{ar{ar{l}}}}{m{F}^{p}ar{m{F}}_{p}} \ m_{m{\chi}}^2 = rac{1}{3}\,g_{iar{m{\jmath}}}\,M_{
m P}^{-2}m{F}^{i}ar{m{F}}^{ar{m{\jmath}}}$$

We see that gravitational effects give a new negative contribution adding up to the curvature. They thus help, and we actually only need a curvature smaller than the critical value  $\frac{2}{3}M_{\rm P}^{-2}$ .

The minimal option is to use a would-be renormalizable theory with two sectors interacting only through gravity.

#### GENERAL SETUP: CHIRAL AND VECTOR MULTIPLETS

Theories with chiral and vector multiplets

Bagger, Witten 1982 Hull, Karlhede, Lindstrom, Rocek 1986

The general vector superfield has components  $(\varphi, \psi_{\alpha}, F, \lambda_{\alpha}, A_{\mu}, D)$ :

$$egin{aligned} V(oldsymbol{x},oldsymbol{ heta},ar{oldsymbol{ heta}}) &= 2\,arphi(oldsymbol{x}) + \sqrt{2}\,oldsymbol{ heta}^{lpha}\psi_{lpha}(oldsymbol{x}) + ext{h.c.} \ &+ oldsymbol{ heta}^{lpha}oldsymbol{ heta}^{eta}\epsilon_{lphaeta}F(oldsymbol{x}) + ext{h.c.} - oldsymbol{ heta}^{lpha}ar{oldsymbol{ heta}}^{\dot{eta}}\sigma_{\ lpha\dot{eta}}^{\mu}A_{\mu}(oldsymbol{x}) \ &- i\,oldsymbol{ heta}^{lpha}oldsymbol{ heta}^{\dot{eta}}ar{oldsymbol{ heta}}^{\dot{\gamma}}\epsilon_{lphaeta}(ar{\lambda}_{\dot{\gamma}}(oldsymbol{x}) + rac{1}{\sqrt{2}}ar{\sigma}^{\mu}_{\dot{\gamma}\delta}\partial_{\mu}\psi^{\delta}(oldsymbol{x})) + ext{h.c.} \ &+ rac{1}{2}\,oldsymbol{ heta}^{lpha}oldsymbol{ heta}^{\dot{eta}}ar{oldsymbol{ heta}}^{\dot{\gamma}}ar{oldsymbol{ heta}}^{\dot{\delta}}\epsilon_{lphaeta}\epsilon_{\dot{\gamma}\dot{\delta}}ig(D(oldsymbol{x}) + \Box oldsymbol{arphi}(oldsymbol{x})ig) \end{aligned}$$

Introducing a local invariance acting as  $\delta V = \Lambda + \bar{\Lambda}$ , this may be viewed as a gauge vector superfield in a Higgs phase, sum of a reduced vector multiplet  $(\lambda_{\alpha}, A_{\mu}^{\perp}, D)$  and a chiral multiplet  $(\varphi + iA^{\parallel}, \psi_{\alpha}, F)$ .

The general case is thus described by chiral multiplets  $\Phi^i$  interacting with gauge vector multiplets  $V^a$  coming with a local symmetry.

The most general two-derivative action involves a real Kähler potential  $K(\Phi, \bar{\Phi})$ , a holomorphic superpotential  $W(\Phi)$ , a holomorphic gauge kinetic matrix  $F_{ab}(\Phi)$  and some holomorphic Killing vectors  $X_a^i(\Phi)$ .

## Component Lagrangian

One gets a supersymmetric gauged non-linear sigma model for the fields  $\phi^i$ ,  $\psi^i$ ,  $A^a_\mu$  and  $\lambda^a$ , while  $F^i$  and  $D^a$  are auxiliary fields. The symmetries are isometries of the target space, with  $\delta\Phi^i=\Lambda^aX^i_a(\Phi)$ . The gauge couplings and angles, matter charges and vector masses are:

$$h_{ab}=\mathsf{Re}F_{ab}$$
  $heta_{ab}=\mathsf{Im}F_{ab}$   $q_{ai}{}^j=i
abla_iX_a^j$   $M_{ab}^2=2\,g_{iar\jmath}\,X_a^iar X_b^{ar\jmath}$ 

Supersymmetry breaking vacuum

Supersymmetry breaking is triggered by the  $F^i$  and the  $D^a$ , with order parameter  $V=g_{i\bar{\jmath}}F^i\bar{F}^{\bar{\jmath}}+\frac{1}{2}h_{ab}D^aD^b$ . But at stationary points:

$$M_{ab}^{\,2}\,D^b - f_{ab}^{\phantom{ab}c} heta_{cd}D^bD^d = 2\,q_{aiar{\jmath}}\,F^iar{F}^{ar{\jmath}}$$

# Physical masses and special features

The mass matrices at a stationary point breaking supersymmetry can be derived by proceeding as in the minimal case with chiral multiplets.

They display again two special features concerning their traces and their values along the supersymmetry breaking direction. One finds:

$$egin{aligned} \operatorname{tr}[m_{oldsymbol{\phi},oldsymbol{A}}^2] &- \operatorname{tr}[m_{oldsymbol{\psi},oldsymbol{\lambda}}^2] = -2 \left(R_{iar{\jmath}} - h_{abi}h^{ac}h^{bd}h_{cdar{\jmath}}
ight) oldsymbol{F}^iar{oldsymbol{F}}^{ar{\jmath}} \ &+ 2 \left(q_{ai}{}^i - 2f_{ab}{}^ch^{bd} heta_{cd}
ight) oldsymbol{D}^a \end{aligned}$$

and

$$\begin{split} m_{\tilde{\eta}}^2 &= -\frac{R_{i\bar{\jmath}k\bar{l}}\,F^i\bar{F}^{\bar{\jmath}}F^k\bar{F}^{\bar{l}}}{F^p\bar{F}_p} + \frac{M_{ab}^2\,D^aD^b}{F^p\bar{F}_p} \\ &\quad + \frac{h_{aci}h^{cd}h_{bd\bar{\jmath}}\,F^i\bar{F}^{\bar{\jmath}}D^aD^b}{F^p\bar{F}_p} + \frac{1}{4}\,\frac{h_{aci}g^{i\bar{\jmath}}h_{bd\bar{\jmath}}\,D^aD^bD^cD^d}{F^p\bar{F}_p} \\ m_{\eta}^2 &= 0 \end{split}$$

## Limit of heavy vector fields

The effect of the vector fields is generically to improve the situation, but only quantitatively, not qualitatively, much in the same way as gravity.

If the gauge symmetry is broken at a higher scale than supersymmetry,  $M^2_{ab}$  is large  $D^a \simeq 2\,M^{-2ab}q_{bi\bar{\jmath}}\,F^i\bar{F}^{\bar{\jmath}}$  is small. One then recovers the results for chiral multiplets but with a shifted curvature, corresponding to the effect left by integrating out the whole vector multiplets  $V^a$ :

$$egin{aligned} R_{iar{\jmath}}^{ ext{eff}} &= R_{iar{\jmath}} - 2\,q_{aiar{\jmath}}\,g^{kar{l}}q_{bkar{l}}\,M^{-2ab} \ R_{iar{\jmath}kar{l}}^{ ext{eff}} &= R_{iar{\jmath}kar{l}} - 2\,ig(q_{aiar{\jmath}}\,q_{bkar{l}} + q_{aiar{l}}\,q_{bkar{\jmath}}ig)M^{-2ab} \end{aligned}$$

We then need a curvature smaller than the critical value  $4q^2M^{-2}$ .

## Effects of gravity

One may again generalize these results in supergravity. The effect of gravity is again mainly to further shift the curvature by  $\frac{2}{3}M_{\rm P}^{-2}$ .

#### IMPLICATIONS FOR STRING MODELS

Metastability for moduli

Covi, Gomez-Reino, Gross, Louis, Palma, Scrucca 2008

For the moduli sector of string models, one finds, in units where  $M_{\rm P}=1$ :

$$K = -\log(S+\bar{S}) - \log(d_{ijk}(T+\bar{T})^i(T+\bar{T})^j(T+\bar{T})^k) + \cdots$$
 $W = \cdots$ 

One may now check the value of the curvature and compare it to the critical value  $\frac{2}{3}$  for metastability. One finds:

$$R = egin{cases} 2 + \cdots & ext{along the field direction } S \ rac{2}{3} + \cdots & ext{along a combination of } T^i \ rac{1}{2} + \cdots & ext{along a combination of } S, T^i \end{cases}$$

Therefore S can not dominate supersymmetry breaking, whereas the  $T^i$  may do so only in some cases. In general both S and  $T^i$  must participate.

The moduli effective theory is very constrained by the higher-dimensional origin of these modes, which implies some very peculiar features related to extended supersymmetry.

Already in the minimally extended case, the Kähler potential corresponds to Hyper-Kähler or Special-Kähler geometries, and the superpotential is induced by a gauging of isometries.

Metastability is harder to achieve. There exist no-go theorems for theories with only hyper multiplets or only abelian vector multiplets. But there are also a few positive examples using more general settings.

#### CONCLUSIONS

- General concepts like naturalness or unification suggest that a set of new ingredients should appear in a really fundamental theory of elementary particle physics.
- Supersymmetry is the most plausible and appealing new principle.
   It must however be spontaneously broken, and realizing this in viable way poses constraints.
- These constraints can be studied in full generality and can be used as a discrimination tool in the quest for the underlying fundamental theory.