

# ÉCOLE POLYTECHNIQUE FÉDÉRALE DE LAUSANNE

School of Computer and Communication Sciences

## Handout 24

Solutions to homework 11

Information Theory and Coding

December 18th, 2012

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PROBLEM 1. Recall that the minimum distance is also given by the weight of the minimum weight codeword. Now observe that there exists a codeword  $x$  of weight  $w$  iff  $Hx^T = 0$  where  $H$  is the parity-check matrix with  $n$  columns. This is equivalent to say that some  $w$  columns of  $H$  are linearly dependent. We then know that there exist  $d$  columns that are linearly dependent. However no combination of  $d - 1$  columns or less are dependent since this case would give rise to a codeword of weight less or equal than  $d - 1$ . This concludes the proof.

PROBLEM 2.

- (a) At the first step, we can choose any non-zero column vector with  $r$  coordinates. This will be the first column of our  $r \times n$  parity-check matrix. Now suppose we have chosen  $i$  columns so that no  $d - 1$  are linearly dependent. They are all non-zero columns. There are at most

$$\binom{i}{1} + \cdots + \binom{i}{d-2}$$

distinct linear combinations of these  $i$  columns taken  $d - 2$  or fewer at a time.

- (b) The total number of  $r$ -tuples (include the all-zero one) is  $2^r$ . We can then choose a new column different from the previous ones, linearly independent from the previous ones, and keep the property that every  $d - 1$  columns are independent.
- (c) We can iterate the procedure and we keep doing so as long as

$$1 + \binom{i}{1} + \cdots + \binom{i}{d-2} < 2^r$$

where the first term counts the all-zero vector. At the last step, we can do so iff

$$1 + \binom{n-1}{1} + \cdots + \binom{n-1}{d-2} < 2^r.$$

- (d) Multiply both sides of the previous inequality by  $M = 2^k$  gives the result since  $r = n - k$ .

PROBLEM 3. Let  $x$  and  $x'$  be two different codewords in the extended Hamming code. Let  $z$  and  $z'$  be the parts of  $x$  and  $x'$  that come from the Hamming code (i.e.,  $z$  is all but the last bit of  $x$ , and  $z'$  that of  $x'$ ), and  $p$  and  $p'$  be the bits appended to  $z$  and  $z'$  to get  $x$  and  $x'$ . Since  $x$  and  $x'$  are different then so are  $z$  and  $z'$ : if  $z = z'$  then  $p = p'$  and  $x$  and  $x'$  would have been the same. Thus,  $d_H(z, z') \geq 3$  since  $z$  and  $z'$  are different Hamming codewords. On the other hand, if  $d_H(z, z') = 3$ , then  $z$  and  $z'$  must have different parity: if they both had an even number of 1's or both had an odd number of 1's they would have differed in an even number of places and  $d_H(z, z')$  would have been an even number. Thus, if  $d_H(z, z') = 3$  then  $p \neq p'$  and we have  $d_H(x, x') = 4$ . If  $d_H(z, z') \geq 4$  then clearly  $d_H(x, x') \geq 4$ . We thus see that the minimum distance of the new code is 4.

Consider the following procedure to decode

Given a sequence  $y$ , compare it to all the codewords and find the number of positions in which  $y$  differs from them. If there is a unique codeword for which this number is smallest, declare that codeword. If not, declare ‘errors were detected’.

If the minimum distance  $d$  of a code is an even number,  $d = 2j$ , then if a sequence  $y$  differs from the transmitted codeword  $x$  by up to  $j - 1$  places, then  $y$  will be closer to the transmitted codeword than to any other and the decoder will correctly decode  $x$ . If however,  $y$  differs from  $x$  in  $j$  places, then no other codeword will be closer to  $y$ , but there might be a codeword  $x'$  which also differs from  $y$  in  $j$  places. In such a case the decoder will not be able to correct but detect the errors. In particular, if  $d = 4$ , then all single errors are corrected and all double errors are detected (may even be corrected).

PROBLEM 4.

- (a) Since  $C$  is non-empty, it contains some codeword  $x$ . By linearity  $C$  must contain  $x + x$ . But, for any  $x$ ,  $x + x$  is the all zero sequence since we are doing modulo-2 sums. So,  $C$  contains the all zero sequence.
- (b) The elements of  $D'$  are those sequences of the form  $x + y$  where  $y$  is in  $D$ . Since  $x$  is in  $C$  and  $D$  is a subset of  $C$ , any  $x$  and  $y$  are both in  $C$ , and so is their sum.
- (c) Suppose there was an element  $z$  common to  $D$  and  $D'$ . Then  $z = x + y$  where  $y$  is in  $D$ . Since we assumed that  $D$  is a linear subset, then  $z + y$  is also in  $D$ . But  $z + y$  equals  $x$ , and we arrive at the contradiction that  $x$  is in  $D$ .
- (d) Since the mapping  $y \mapsto x + y$  is a bijection,  $D$  and  $D'$  are in one-to-one correspondence, and hence have the same number of elements.
- (e) Suppose  $z_1$  and  $z_2$  are in  $D \cup D'$ . There are four possibilities: (1) both  $z_1$  and  $z_2$  are in  $D$ , (2) both  $z_1$  and  $z_2$  are in  $D'$ , (3)  $z_1$  is in  $D$ ,  $z_2$  is in  $D'$ , (4)  $z_1$  is in  $D'$ ,  $z_2$  is in  $D$ . In case (1), the linearity of  $D$  implies that  $z_1 + z_2$  is in  $D$ . In case (2),  $z_1 = x + y_1$  and  $z_2 = x + y_2$  for some  $y_1$  and  $y_2$  both in  $D$ , then  $z_1 + z_2 = x + x + y_1 + y_2 = y_1 + y_2$  is in  $D$ . In case (3)  $z_2 = x + y_2$  and  $z_1 + z_2 = x + (z_1 + y_2)$ , which is in  $D'$ , and similarly in case (4). Thus in all cases  $z_1 + z_2$  is in  $D \cup D'$  and we see that  $D \cup D'$  is a linear subset of  $C$ .
- (f) We thus see that if at the beginning of step (ii)  $D$  is a linear subset of  $C$ , at the end of step (iii)  $D \cup D'$  is linear, a subset of  $C$  because both  $D$  and  $D'$  are, and has twice as many elements of  $D$  since  $D'$  has the same number of elements of  $D$  and is disjoint from it. Thus, when the algorithm terminates,  $D$  contains all elements of  $C$  and since it is a subset of  $C$  it must equal  $C$ . Furthermore, its size, being equal to successive doublings of 1, is a power of 2.

PROBLEM 5.

- (a) Note first that the sum of two even weight codewords is of even weight, the sum of two odd weight codewords is of even weight and the sum of an odd weight codeword with an even weight codeword is of odd weight.

If the code contains no odd weight codeword then we are done. Otherwise let  $x$  be an odd weight codeword. Then the mapping  $y \mapsto x + y$  is a bijection between even weight and odd weight codewords, and we conclude that there must be an equal number of odd and even weight codewords.

- (b) The same proof above applies: either all codewords have a zero at the  $n$ th digit, or there is a codeword  $x$  with has a 1 in its  $n$ th digit. The mapping  $y \mapsto x + y$  gives a bijection between codewords who have a zero at the  $n$ th digit and codewords which have a 1 at the  $n$ th digit. In the first case, when all codewords have a zero at the  $n$ th digit, one can improve the code by simply deleting the  $n$ th digit from each codeword: no matter what the message, the same symbol would have been transmitted, giving no additional information.
- (c) To find the average number 1's per codewords, one would find the total number of 1s in all codewords, and divide this sum by the number of codewords. Suppose there are  $M$  codewords. Arrange the codewords in rows, and count the total number of 1's by going over columns one by one. Since each column contains at most  $M/2$  ones, and there are  $N$  columns, the total number of 1's is less than or equal to  $MN/2$ . Dividing by  $M$  we see that the average number of 1's per codeword is at most  $N/2$ .

PROBLEM 6. Let  $S_0$  be the set of codewords at Hamming distance  $n$  from  $\mathbf{x}_0$  and  $S_1$  be the set of codewords at Hamming distance  $n$  from  $\mathbf{x}_1$ . For each  $\mathbf{y}$  in  $S_0$ , note that  $\mathbf{x}_1 + \mathbf{y}$  is at distance  $n$  from  $\mathbf{x}_1$ , and thus  $\{\mathbf{x}_1 + \mathbf{y} : \mathbf{y} \in S_0\} \subset S_1$ . Similarly,  $\{\mathbf{x}_1 + \mathbf{y} : \mathbf{y} \in S_1\} \subset S_0$ . These two relationships yield  $|S_0| \leq |S_1|$  and  $|S_1| \leq |S_0|$ , leading to the conclusion that  $|S_0| = |S_1|$ .