

# ÉCOLE POLYTECHNIQUE FÉDÉRALE DE LAUSANNE

School of Computer and Communication Sciences

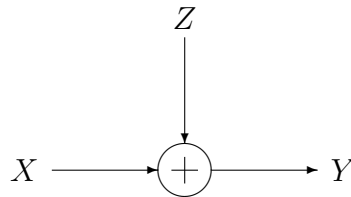
**Handout 14**  
Homework 7

Information Theory and Coding  
October 30, 2012

PROBLEM 1. One is given a communication channel with transition probabilities  $p(y|x)$  and channel capacity  $C = \max_{P_X} I(X; Y)$ . A helpful statistician preprocesses the output by forming  $\tilde{Y} = g(Y)$ . He claims that this will strictly improve the capacity.

- (a) Show that he is wrong.
- (b) Under what conditions does he not strictly decrease the capacity?

PROBLEM 2. Find the channel capacity of the following discrete memoryless channel:



where  $\Pr\{Z = 0\} = \Pr\{Z = a\} = \frac{1}{2}$  and  $a \neq 0$ . The alphabet for  $x$  is  $\mathcal{X} = \{0, 1\}$ . Assume that  $Z$  is independent of  $X$ .

Observe that the channel capacity depends on the value of  $a$ .

PROBLEM 3. Consider the discrete memoryless channel  $Y = X + Z \pmod{11}$ , where

$$\Pr(Z = 1) = \Pr(Z = 2) = \Pr(Z = 3) = 1/3$$

and  $X \in \{0, 1, \dots, 10\}$ . Assume that  $Z$  is independent of  $X$ .

- (a) Find the capacity.
- (b) What is the maximizing  $p^*(x)$ ?

PROBLEM 4. The Z-channel has binary input and output alphabets and transition probabilities  $p(y|x)$  given by

$$p(0|0) = 1 \quad \text{and} \quad p(0|1) = \varepsilon.$$

Find the capacity of the Z-channel and the maximizing input probability distribution in terms of  $\varepsilon$ .

PROBLEM 5. Consider two discrete memoryless channels. The first channel has input alphabet  $\mathcal{X}$ , output alphabet  $\mathcal{Y}$ ; the second channel has input alphabet  $\mathcal{Y}$  and output alphabet  $\mathcal{Z}$ . The first channel is described by the conditional probabilities  $P_1(y|x)$  and the second channel by  $P_2(z|y)$ . Let the capacities of these channels be  $C_1$  and  $C_2$ . Consider a third memoryless channel described by probabilities

$$P_3(z|x) = \sum_{y \in \mathcal{Y}} P_2(z|y) P_1(y|x), \quad x \in \mathcal{X}, z \in \mathcal{Z}.$$

Show that the capacity  $C_3$  of this third channel satisfies

$$C_3 \leq \min\{C_1, C_2\}.$$

PROBLEM 6. Suppose we have two channels  $(\mathcal{X}_i, p_i(y_i|x_i), \mathcal{Y}_i)$ ,  $i = 1, 2$  with capacities  $C_i$ ,  $i = 1, 2$  and let assume that  $\mathcal{X}_1 \cap \mathcal{X}_2 = \emptyset$  and  $\mathcal{Y}_1 \cap \mathcal{Y}_2 = \emptyset$ . We are going to communicate by using these channels but we are not allowed to use both of them. Hence, every time we want to send a message, we select one of the channels and as  $\mathcal{Y}_1 \cap \mathcal{Y}_2 = \emptyset$  the receiver will automatically know which channel we have used. There are different schemes for communication, for example, we can always use the channel with maximum capacity to transfer  $\max\{C_1, C_2\}$  bits in one access to the channel but we want to show that we can do better!!

1. Convince yourself that in this case the communication channel is simply  $(\mathcal{X}_1 \cup \mathcal{X}_2, p_{12}(y|x), \mathcal{Y}_1 \cup \mathcal{Y}_2)$ , where

$$p_{12}(y|x) = \begin{cases} p_1(y|x) & x \in \mathcal{X}_1, y \in \mathcal{Y}_1, \\ p_2(y|x) & x \in \mathcal{X}_2, y \in \mathcal{Y}_2, \\ 0 & \text{otherwise.} \end{cases}$$

2. Assume that the capacity achieving distributions for the channels are  $p_1^*$  and  $p_2^*$  respectively. Show that the capacity achieving distribution for the combined channel is of the form

$$p^*(x) = \begin{cases} \alpha p_1(x) & x \in \mathcal{X}_1, \\ (1 - \alpha)p_2(x) & x \in \mathcal{X}_2, \end{cases}$$

for some  $0 \leq \alpha \leq 1$ .

3. Find the mutual information of the combined channel as a function of  $\alpha$  and by optimizing it over  $\alpha$  prove that the following relation holds

$$2^C = 2^{C_1} + 2^{C_2},$$

where  $C$  is the capacity of the combined channel.

4. Assume that  $C_1 = C_2 = 0$ . What is the resulting capacity? How can this result be true when we know that no information can be transmitted directly through individual channels?