

Homework Set #8

Due 11 December 2009, 6 pm, in INR036

Problem 1 (Channel Capacity)

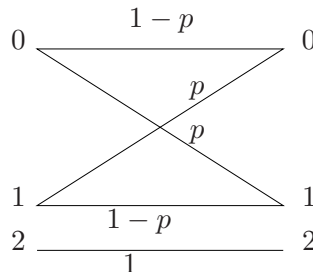
Consider a discrete memoryless channel (DMC) with 3 inputs and 3 outputs. Let $\mathcal{X} = \{1, 2, 3\}$ and $\mathcal{Y} = \{1, 2, 3\}$ be the input and output alphabets and the transition probabilities of the channel are given by $P_{Y|X}(y = i|x = j) = M_{i,j}$ where

$$\mathbf{M} = \begin{bmatrix} 1 & 0 & 0 \\ 0 & 1 - \epsilon & \epsilon \\ 0 & \epsilon & 1 - \epsilon \end{bmatrix}.$$

- (a) Find the capacity of the channel and the optimal input distribution in terms of ϵ .
Hint: Try to guess the (form of) optimal input distribution and check the Kuhn-Tucker conditions for your guess.
- (b) Explain what happens when $\epsilon = 0$ and $\epsilon = \frac{1}{2}$.

Problem 2 (PARALLEL CHANNELS AND CHOICE OF CHANNELS)

- (a) Find the capacity C of the union of two channels $(\mathcal{X}_1, p_1(y_1|x_1), \mathcal{Y}_1)$ and $(\mathcal{X}_2, p_2(y_2|x_2), \mathcal{Y}_2)$, where at each time, one can send a symbol over channel 1 or channel 2 but not both. Assume that the output alphabets are distinct and do not intersect. Show that $2^C = 2^{C_1} + 2^{C_2}$. Thus 2^C is the effective alphabet size of a channel with capacity C .
- (b) Consider two discrete memoryless channels $(\mathcal{X}_1, p(y_1|x_1), \mathcal{Y}_1)$ and $(\mathcal{X}_2, p(y_2|x_2), \mathcal{Y}_2)$ with capacities C_1 and C_2 respectively. A new channel $(\mathcal{X}_1 \times \mathcal{X}_2, p(y_1|x_1) \times p(y_2|x_2), \mathcal{Y}_1 \times \mathcal{Y}_2)$ is formed in which $x_1 \in \mathcal{X}_1$ and $x_2 \in \mathcal{X}_2$ are sent simultaneously, resulting in y_1, y_2 . Find the capacity of this channel.
- (c) Find the capacity of the following channel.



Problem 3 (TIME VARYING CHANNEL)

Consider a channel with input alphabet $\mathcal{X} = \{+1, 0, 1\}$ and output alphabet $\mathcal{Y} = \{-1, 0, 1, \epsilon\}$. The channel has two states S_1 and S_2 and at each time instance it might be in either of the states. In state S_1 , the channel acts as an erasure channel with parameter ϵ , with input alphabet $\{+1, -1\}$ and output alphabet $\{-1, \epsilon, +1\}$. In state S_2 , the channel acts as a Z channel with input and output alphabet $\{0, 1\}$.

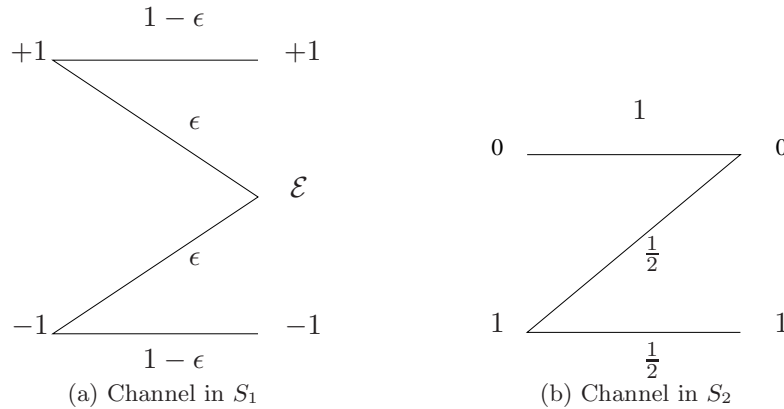


Figure 1: Channel in states S_1 and S_2

The state of the channel is random process distributed according to the first order Markov process with transition probabilities shown in Fig. 3.

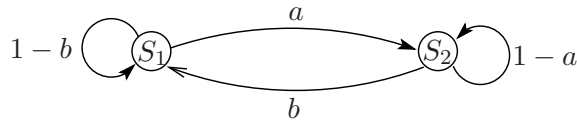


Figure 2: Transition of the states of the channel.

- Find the capacity of each of the channels shown in Fig. 3. What is the optimal input distribution for each of them?
- Compute the stationary distribution of the state of the channel.
- Assume that there is a genie who tells the state of the channel at each time instance to both of the encoder and decoder. Compute the capacity of the channel, C_{SI} (capacity with side information).
- Let the state of the channel be unknown to both encoder and decoder. What can the decoder say about the channel state?
- Compare the capacity of the channel when the states are unknown to the encoder/decoder, C_{NSI} (capacity without side information) to the capacity obtained in part (c). *Hint:*

Verify the following inequalities:

$$\begin{aligned}
 C_{NSI} &\stackrel{(1)}{=} \frac{1}{n} I(X^n; Y^n) \\
 &\stackrel{(2)}{\leq} \frac{1}{n} \sum_{i=1}^n H(X_i) - H(X_i | Y^n, S^n, X_1^{i-1}) \\
 &\stackrel{(3)}{=} \frac{1}{n} \sum_{i=1}^n I(X_i; Y_i, S_i)
 \end{aligned}$$

Problem 4 (Channel with Memory)

Consider the discrete memoryless channel $Y_i = Z_i X_i$ with input alphabet $X_i \in \{1, 1\}$.

(a) What is the capacity of this channel when $\{Z_i\}$ is i.i.d. with

$$Z_i = \begin{cases} 1, & p = 0.5, \\ -1, & p = 0.5? \end{cases}$$

Now consider the channel with memory. Before transmission begins, Z is randomly chosen and fixed for all time. Thus, $Y_i = Z X_i$.

(b) What is the capacity if we have

$$Z = \begin{cases} 1, & p = 0.5, \\ -1, & p = 0.5? \end{cases}$$

Problem 5 (Memory Increases Capacity)

Consider a BSC with crossover probability $0 < \epsilon < 1$ represented by $X_i = Y_i + Z_i \pmod 2$, where X_i , Y_i , and Z_i are, respectively, the input, the output, and the noise variable at time i . Then

$$\mathbb{P}[Z_i = 0] = 1 - \epsilon \quad \text{and} \quad \mathbb{P}[Z_i = 1] = \epsilon$$

for all i . We assume that $\{X_i\}$ and $\{Z_i\}$ are independent, but we make no assumption that Z_i are i.i.d. so that the channel may have memory.

(a) Prove that

$$I(X^n; Y^n) \leq n(1 - \mathcal{H}(\mathcal{Z})).$$

(b) Show that the upper bound in (a) can be achieved using large block length n .

(c) Show that with the assumptions in (b), $I(X^n; Y^n) > nC$, where $C = 1 - h_2(\epsilon)$ is the capacity of the BSC if it is memoryless.